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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

Rodney J. Kempkes and
Mark J. Pettay

Serial No.: 09/849,777

Filed: May 4, 2001

For: System, Method and Business
Method for Settling Micropayment)
Transactions to a Pre-Paid
Instrument

Group Art Unit: 2182

Examiner: Not known

Atty. Docket No.: PAT-007

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant hereby discloses to the Patent Office patents, publications and other information of which they are aware. A Form PTO-1449 listing the above items for consideration by the examiner is attached hereto. One copy of each patent and publication cited is being submitted with this Statement.

The items cited on the attached Form PTO-1449 may or may not be material to the patentability of the claims in the above-identified application, and their submission is not to be construed as an admission that the items are material or considered to be

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By: Angela D. Janke
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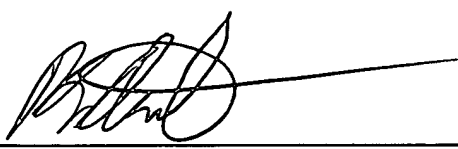
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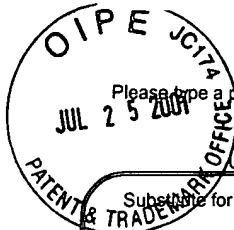
Respectfully submitted,

Dated: July 23, 2001

By: 

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

Complete if Known

Application Number	
Filing Date	May 4, 2001
First Named Inventor	Rodney J. Kempkes
Group Art Unit	
Examiner Name	
Attorney Docket Number	PAT-007

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U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	5,915,007	A	Klapka, Timothy	06/22/1999	
	AB	6,000,608	A	Dorf, Robert E.	12/14/1999	
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FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	AA	JP	408315222	A	Yokoyama, Seiji	11/29/1996		
	AB	JP	411203544	A	Kato, Kazutoshi	07/30/1999		
	AC	WO	9825237	A1	Taskett, John M.	06/11/1998		
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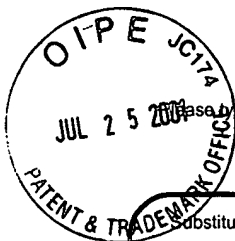
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Group Art Unit	
Examiner Name	
Attorney Docket Number	PAT-007

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AA	JEANETTE BORZO, <i>Internet Renews Interest in Micropayments</i> , <i>The Wall Street Journal</i> , April 10, 2001, Page B-8, New York, New York	
	AB	MYPOINTS, webpage, copyright date 1996-2001	
	AC	QPASS webpage entitled <i>Qpass Digital Commerce Service</i> , copyright date 1998-2001	
	AD	SKYGO webpage entitled <i>Qpass and SkyGo Team to Add Commerce Functionality to Wireless Marketing Offers</i> , copyright date 2001.	
	AE	SKYGO webpage describing their services, copyright date 2001.	
	AF	NTT DOCOMO online news release entitled <i>Coca-Cola Japan, NTT DoCoMo, Itochu Agree to Test New Service That Links I-mode with Vending Machines</i> , March 28, 2001	
	AG	MICHAEL RUDNICK, <i>Financial Firm Launches Teen Spending Card</i> . M2Card is no longer offering this program. I have attached a copy of their webpage as evidence.	
	AH	MOJONATION webpage entitled <i>Key Features of the Mojo Nation Technology</i> , copyright date 2000.	
	AI	ELLIS CHI, <i>Evaluation of Micropayment Schemes</i> , January 20, 1997, 30 pages, HPL-97-14	
	AJ	W3C webpage entitled <i>Micropayments Overview</i> , copyright date 1998	
	AK	HALFBAKERY webpage entitled <i>Micropayment email</i> , June 15, 2000	
	AL	TRANSACTION NET webpage entitled <i>Micropayment Methods</i>	
	AM	AMERICAN EXPRESS online news release entitled <i>American Express and 7-11 Team up to Offer Prepaid Internet Card</i> , June 22, 2000	
	AN	CARDLINE e-mail to distribution list, <i>Virgin to Teach Blue Cardholders How to Use Their Chips and Planned Chip Card Would be Able to Make \$1 Purchase</i> , May 9, 2001.	
	AO	ALLEN T. CHENG, <i>Shopping by Cellphone</i> , <i>Asiaweek.com Technology</i> , July 28, 2000, Vol. 26, No. 9.	
	AP	DUOCASH webpage entitled <i>\$5.2 Billion is Stored on Prepaid Calling Cards</i> , <i>New York Times</i> , April 9, 2001	
	AQ	M.J. ROSE, <i>Amazon Wants to Sell Your Stuff</i> , <i>Wired.com News</i> , February 6, 2001	
	AR	CHERYL ROSEN, <i>Amazon Counts Every Dollar</i> , <i>InformationWeek.com News</i> , February 12, 2001	
	AS	JASON P. MCKAY, <i>Cyber Calling Cards</i> , <i>teledotcom</i> , January 22, 2001	

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